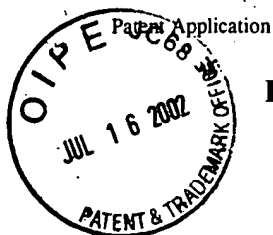


2161#6  
7-29-02



Attorney Docket No. DEM1P007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: **Neal et al.**

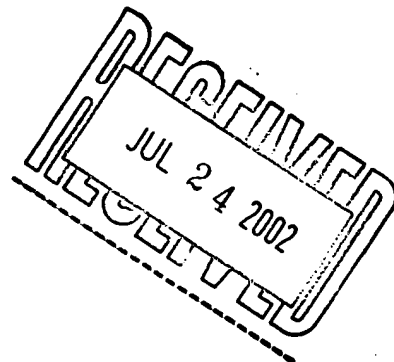
Serial No. : **09/888,340**

Art Unit: 2161

Filed: **June 22, 2001**

Examiner: Unknown

For: **PRICE OPTIMIZATION WITH RULE RELAXATION**



Box: Non-Fee Amendment

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

July 12, 2002

Dear Sirs:

**Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, D.C. 20231 on July 12, 2002.

Signed: \_\_\_\_\_

**INFORMATION DISCLOSURE STATEMENT FILED**

**UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

**RECEIVED**  
**JUL 22 2002**  
**GROUP 3600**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

1. U.S. Patent Number 6,308,162 to Ouimet et al. entitled "METHOD FOR CONTROLLED OPTIMIZATION OF ENTERPRISE PLANNING MODELS"
2. International Application Number PCT/US98/10521 to Ouimet et al. entitled "A METHOD FOR INCORPORATING PSYCHOLOGICAL EFFECTS INTO DEMAND MODELS"
3. Alan Lewis Montgomery, "The Impact of Micro-Marketing on Pricing

Strategies", 1994 The University of Chicago, Vol. 55/12-A of Dissertation Abstracts International, pg 3922 (Abstract Only)

4. John Busch, "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, Vol. 37, No. 6, pgs 50-56
5. "Pacifcorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"


Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

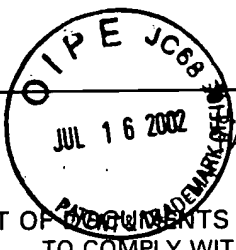
If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,

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\\KSL IDS d



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF DOCUMENTS CITED BY APPLICANT  
TO COMPLY WITH 37 C.F.R. 1.56

ATTY. DOCKET NO.  
DEM1P007

SERIAL NO.  
09/888,340

APPLICANT

Neal et al.

FILING DATE  
6/22/01

GROUP  
2161

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,308,162	10/23/01	Ouimet et al.			

**RECEIVED**  
JUL 22 2002  
**GROUP 3600**

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	WO 98/53415	11/26/98	Ouimet et al.				

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	Montgomery: "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago Vol. 55/12-A of Dissertation of Abstracts International, pg. 3922 (Abstract Only)
	CB	Busch: "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pgs 50-56
	CC	"Pacifcorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.